

Internal Audit Interim Report 2019/20

Final Decision-Maker	Audit, Governance & Standards Committee
Lead Head of Service	Rich Clarke, Head of Audit Partnership
Lead Officer and Report Author	Rich Clarke, Head of Audit Partnership
Classification	Public
Wards affected	All

Executive Summary and Purpose of Report

The report summarises, for Member information, progress towards delivering the 2019/20 Audit & Assurance Plan approved by this Committee on 19 March 2019.

This report makes the following recommendations to this Committee:

1. That progress so far on completing the 2019/20 Audit and Assurance Plan be noted.

Timetable

Meeting	Date
Audit, Governance & Standards Committee	18 November 2019

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1. CROSS-CUTTING ISSUES AND IMPLICATIONS

Issue	Implications	Sign-off
Impact on Corporate Priorities	We do not expect the recommendations will by themselves materially affect achievement of corporate priorities. However, they will support the Council's overall achievement of its aims by promoting good governance.	Rich Clarke Head of Audit Partnership 7 November 2019
Cross Cutting Objectives	The report recommendation supports achievement of cross cutting objectives by supporting good governance.	
Risk Management	No additional implications.	
Financial	We will continue towards delivering the plan within already agreed budgets.	
Staffing	We will continue towards delivering the plan within agreed staffing levels.	
Legal	Accepting the recommendation will help the Council towards meeting its obligations under the Accounts & Audit Regulations 2015.	
Privacy and Data Protection	No new implications.	
Equalities	No new implications	
Public Health	No new implications	
Crime and Disorder	No new implications	
Procurement	No further procurement exercises required.	

2. INTRODUCTION AND BACKGROUND

- 2.1 This Committee approved the 2019/20 Audit and Assurance Plan at its meeting on 19 March 2019. This report summarises progress towards delivering the plan up to the end of October, as it works towards culminating in a Head of Audit Opinion in July 2020.
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3. PREFERRED OPTION AND REASONS FOR RECOMMENDATIONS

3.1 We present the report for Member information and for noting.

4. CONSULTATION RESULTS AND PREVIOUS COMMITTEE FEEDBACK

4.1 The format and information presented in the report builds on Committee feedback to previous audit summary reports.

5. REPORT APPENDICES

- Appendix 1: Internal Audit Interim Report 2019/20
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6. BACKGROUND PAPERS

Full audit reports supporting the summarised findings are available on request.