

Internal Audit Interim Report 2022-23

Final Decision-Maker	Audit, Governance and Standards Committee
Lead Head of Service	Alison Blake – Interim Head of Internal Audit
Lead Officer and Report Author	Alison Blake – Interim Head of Internal Audit
Classification	Public
Wards affected	All

Executive Summary

This report summarises the work towards completing the 2022/23 Audit & Assurance Plan agreed by this Committee in March 2022. It also gives updates on changes within the Mid Kent Audit Partnership.

Purpose of Report

Noting

This report makes the following recommendations to this Committee:

1. That work completed so far on the 2022/23 Audit & Assurance Plan be noted.

Timetable

Meeting	Date
Audit, Governance and Standards Committee	14 th November 2022

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1. CROSS-CUTTING ISSUES AND IMPLICATIONS

Issue	Implications	Sign-off
Impact on Corporate Priorities	The report is for noting only, providing to Members an update on progress. It seeks no new decisions and makes no new proposals.	Alison Blake – Interim Head of Audit Partnership
Cross Cutting Objectives	The report is for noting only, providing to Members an update on progress. It seeks no new decisions and makes no new proposals.	Alison Blake – Interim Head of Audit Partnership
Risk Management	The report introduces no risks that require separate description in the Council’s risk registers, nor materially impacts any currently described.	Alison Blake – Interim Head of Audit Partnership
Financial	The report author confirms that remaining work will be completed within agreed budgets and within agreed staffing levels.	Section 151 Officer & Finance Team
Staffing	Remaining work will be completed within agreed budgets and within agreed staffing levels.	Alison Blake – Interim Head of Audit Partnership
Legal	The report is for noting only, providing to Members an update on progress. It seeks no new decisions and makes no new proposals.	Interim Team Leader (Contentious and Corporate Governance)
Privacy and Data Protection	The report is for noting only, providing to Members an update on progress. It seeks no new decisions and makes no new proposals.	Policy and Information Team
Equalities	The report is for noting only, providing Members an update on progress. It seeks no new decisions and makes no new proposals. An EqIA will not be required.	Equalities & Communities Officer
Public Health	The report is for noting only, providing to Members an update on progress. It seeks no new decisions and makes no new proposals.	Public Health Officer

Crime and Disorder	The report is for noting only, providing to Members an update on progress. It seeks no new decisions and makes no new proposals.	Alison Blake – Interim Head of Audit Partnership
Procurement	The audit support contracts mentioned in the report are being progressed in consultation with the shared procurement service.	Alison Blake – Interim Head of Audit Partnership
Biodiversity and Climate Change	The report is for noting only, providing to Members an update on progress. It seeks no new decisions and makes no new proposals.	Biodiversity and Climate Change Manager

2. INTRODUCTION AND BACKGROUND

- 2.1 This Committee approved the 2022/23 Audit & Assurance plan in March 2022. This report summarises progress towards delivering the plan up to 31 October 2022 and sets out the path towards completing the plan over the next 6 months. It also provides updates on staffing within the service.
- 2.2 The new Head of Audit Partnership will update Senior Management and Members once she starts in December as to whether the service holds sufficient resource to accumulate enough evidence to support a year end opinion.

3. AVAILABLE OPTIONS

- 3.1 We present the report for Member information and for noting.

4. PREFERRED OPTION AND REASONS FOR RECOMMENDATIONS

- 4.1 We present the report for Member information and for noting.

5. RISK

- 5.1 The risks associated with this proposal, including the risks if the Council does not act as recommended, have been considered in line with the Council's Risk Management Framework. We are satisfied that the risks associated are within the Council's risk appetite and will be managed as per the Policy.
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6. CONSULTATION RESULTS AND PREVIOUS COMMITTEE FEEDBACK

6.1 We present the report for Member information and for noting. There has been no formal consultation, but its content has been discussed with the Director of Finance, Resources and Business Improvement.

7. NEXT STEPS: COMMUNICATION AND IMPLEMENTATION OF THE DECISION

7.1 We present the report for Member information and for noting.

8. REPORT APPENDICES

The following documents are to be published with this report and form part of the report:

- Appendix 1: Internal Audit Interim Report 2022/23
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9. BACKGROUND PAPERS

Various referenced background papers and guidance documents are included as hyperlinks within Appendix 1