

Litter Strategy for England

Appendix B – Risk Management

Vulnerability/Risk	Trigger	Consequences	Current Rating
Higher level of prosecution required	<ul style="list-style-type: none"> - Increase in FPN value results in lower payment rate - Two tier payment value results in confusion 	Costs are not fully recovered through FPN Higher legal costs Reputational risk to the Council Negative publicity for the service Failure of the trial	Likelihood: 2 Impact: 2 Rating: 4
Unable to tackle littering from vehicles	<ul style="list-style-type: none"> - Legislation is not robust enough - Requirement for evidence is unachievable i.e. witness statements considered insufficient 	Reputational risk Higher levels of littering at junctions and rural roads Low levels of customer satisfaction and negative perception for cleansing service and appearance of Borough	Likelihood: 2 Impact: 2 Rating: 4
Government intervention	<ul style="list-style-type: none"> - Council fails to achieve cleansing standards as set out in Code of Practice - Fail to take action to support the national ambition of the Litter Strategy - Disproportionate and inappropriate use of enforcement powers 	Reputational risk to the Council Financial cost of any intervention	Likelihood: 1 Impact: 4 Rating: 4

No.	Current Rating	Target Rating	Risk		
1	4	4	Higher level of prosecution required		
Control in place	Adequacy of controls	Required action/control	Responsible Officer	Success Factors	Date for Review
FPN value for immediate payment is only small increase Ability to extend early payment	Good	Monthly monitoring of payment rates	Jennifer Shepherd	Payment rate > 70%	Monthly

period for those with financial difficulties Back office system being procured to manage issuing and payment process.					
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No.	Current Rating	Target Rating	Risk		
2	4	4	Unable to tackle littering from vehicles		
Control in place	Adequacy of controls	Required action/control	Responsible Officer	Success Factors	Date for Review
Provided feedback to Government's consultation to help shape legislation On-street enforcement team in place in April to carry out investigations and gather evidence Information from Government is currently positive	Fair	Adoption of best practice from Government guidance document due to be launched in Jan 2018 Consideration of dash-cams for enforcement vehicles to capture footage of littering from vehicles	Jennifer Shepherd	Issuing of FPNs for littering from vehicles	April 2018

No.	Current Rating	Target Rating	Risk		
3	4	4	Government Intervention		
Control in place	Adequacy of controls	Required action/control	Responsible Officer	Success Factors	Date for Review
Cleansing standards	Good	Respond to future consultations	Jennifer Shepherd	Good NI195 cleansing	April 2018

and response times exceed Code of Practice Education and engagement actions already being carried out locally New in-house enforcement team to be introduced with greater control and not financially driven		and call for evidence relating to enforcement and code of practice Review updated Code of Practice when launched and ensure service is compliant Continuous improvement to cleansing service to reduce response times and improve cleansing standard		scores Improved response times	
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