

# Audit, Governance & Standards Committee 15 November 2021

## Interim Internal Audit & Assurance Report 2021/22

<b>Final Decision-Maker</b>	Audit, Governance & Standards Committee
<b>Lead Head of Service</b>	Rich Clarke, Head of Audit Partnership
<b>Lead Officer and Report Author</b>	Rich Clarke, Head of Audit Partnership
<b>Classification</b>	Public
<b>Wards affected</b>	All

### Executive Summary

Summarising the work towards completing the 2021/22 Audit & Assurance Plan agreed by this Committee in March 2021. Also gives updates on changes within the Mid Kent Audit Partnership, including the imminent departure of the Head of Audit Partnership.

### Purpose of Report

Noting

### This report makes the following recommendation to this Committee:

1. That work so far towards completing the 2021/22 Audit & Assurance Plan and updates on the Mid Kent Audit Partnership be noted.

### Timetable

<b>Meeting</b>	<b>Date</b>
Audit, Governance & Standards Committee	15 November 2021

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## 1. CROSS-CUTTING ISSUES AND IMPLICATIONS

Issue	Implications	Sign-off
<b>Impact on Corporate Priorities</b>	The report is for noting only, providing to Members an update on progress. It seeks no new decisions and makes no new proposals.	Rich Clarke, Head of Audit Partnership.  4 November 2021
<b>Cross Cutting Objectives</b>		
<b>Risk Management</b>		
<b>Financial</b>	Remaining work will be completed within agreed budgets and within agreed staffing levels.	
<b>Staffing</b>		
<b>Legal</b>	The report is for noting only, providing to Members an update on progress. It seeks no new decisions and makes no new proposals.	
<b>Privacy and Data Protection</b>		
<b>Equalities</b>		
<b>Public Health</b>		
<b>Crime and Disorder</b>		
<b>Biodiversity and Climate Change</b>		
<b>Procurement</b>	The audit support contracts mentioned in the report are being progressed in consultation with the shared procurement service.	

## 2. INTRODUCTION AND BACKGROUND

- 2.1 This Committee approved the 2021/22 Audit & Assurance plan earlier this year. This report summarises progress towards delivering the plan up to mid-October 2021, and sets out the path towards completing the plan over the next 6 months. It also provides updates on staffing within the service including the forthcoming departure of the Head of Audit Partnership.
- 2.2 The report confirms the current view of the Head of Audit Partnership that the service holds sufficient resource to accumulate enough evidence to support a year end opinion. The Head of Audit Partnership will update Senior Management and Members promptly should any concerns arise.

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### **3. PREFERRED OPTION AND REASONS FOR RECOMMENDATIONS**

3.1 We present the report for Member information and for noting.

### **4. CONSULTATION RESULTS AND PREVIOUS COMMITTEE FEEDBACK**

4.1 The format and information presented in the report builds on Committee feedback to previous audit summary reports.

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### **5. REPORT APPENDICES**

The following documents are to be published with this report and form part of the report:

- Appendix 1: Internal Audit Interim Report 2021/22
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### **6. BACKGROUND PAPERS**

Various referenced background papers and guidance documents are included as hyperlinks within appendix 1.